

FINAL TEXT OF PROPOSED REGULATIONS

Division 7 of Title 15 is amended as follows:

The heading of Chapter 1 is amended to read:

Chapter 1. California Department of Corrections and Rehabilitation— Conflict of Interest Code

Section 7001. General Provisions.

The Political Reform Act (Government Code Sections 81000, et seq.) requires each state agency to adopt and promulgate a financial Conflict of Interest Code. The terms of the standard financial Conflict of Interest Code adopted as a regulation (Title 2, Cal. Code of Regulations (CCR), Section 18730) by the Fair Political Practices Commission (FPPC), and any duly adopted amendments by the Commission are hereby incorporated by reference in accordance with the option afforded by subsection 18730(a). The provisions of this regulation and the attached Appendices in which officials and employees are designated and disclosure categories set forth constitute the statement of economic interests Conflict of Interest Code of the Department of Corrections and Rehabilitation.

Designated employees and consultants shall file statements of economic interests with the department and the department will make the statements available for public inspection and reproduction. Upon receipt of the statements of the Secretary, as well as of all board and commission members, designees (alternates) and ex-officio members, the department shall make and retain a copy and forward originals of these statements to the FPPC. Statements of all other designated employees of the department shall be retained by the department and made available for public inspection and reproduction.

Appendix A

Designated Position (Statutory or Working Title) Disclosure Categories

CONFLICT OF INTEREST DESIGNATED POSITIONS

Secretary/Chief Executive Officer	1
Staff Assistant to the Secretary	3
Undersecretary	1
Staff Assistant to the Undersecretary	3
Consultant(s)	1*

Adult Operations

Chief Deputy Secretary	1
Director, Division of Adult Institutions.....	1
Deputy Director	1
Staff Assistant, Chief Deputy Secretary	3
Staff Assistant to the Director	3
Associate Directors, Division of Adult Institutions	1
Associate Director, Adult Operations Support.....	1
Chief, Community Correctional Facility	3
Chief, Women and Children Services	3
Regional Administrators, Institutions.....	3
Administrator/Chief, Food Services.....	3
Chief, Field Operations Support.....	3
Chief, Transportation	3
Director, Division of Adult Parole Operations.....	1
Deputy Director, Adult Parole Operations	1
Associate Director, Adult Parole and Community Services.....	1
Staff Services Manager II.....	3
Chief Deputy Administrator	3
Parole Administrator	3
Mental Health Program Director.....	3
Deputy Regional Administrator	1
Chief Psychiatrist	3
Senior Psychologist	3
Psychologist (Clinical) (inclusive).....	3
Staff Psychiatrist, Correctional Facility (inclusive).....	3
Staff Services Manager I.....	3
Parole Administrator I/II	3
Consultant(s)	1*

Adult Programs

Chief Deputy Secretary, Adult Programs	1
Staff Assistant to the Chief Deputy Secretary	3
Director, Division of Community Partnerships.....	1
Director, Division of Education, Vocations & Offender Programs	1
Director, Division of Correctional Health Care Services.....	1
Administrative Assistant to the Director	3

Deputy Director of Behavioral Services	1
Deputy Director, Academic & Vocational Education	1
Deputy Director, Clinical Operations	1
Deputy Director, Health Care Administration Operations	1
Chief, Office of Substance Abuse Programs	3
Chief, Fiscal & Business Management	2
Clinical Program & Policy Coordination Manager	3
Deputy Director, Clinical Policy & Programs	1
Chief Physician and Surgeon	3
Chief Dentist	3
Chief Psychiatrist	3
Chief Psychologist	3
Pharmacy Service Manager	3
Statewide Director of Nursing	3
Chief Medical Officer (CMO), Public Health	3
CMO, Clinical—Standards & Services	3
Clinical Program Support & Evaluation Manager	3
Chief, Field Management Section	3
Regional Medical Directors	1
Chief Medical Officers (all institutions)	3
Chief Psychiatrist (all institutions)	3
Chief Psychologists (all institutions)	3
Pharmacists (all institutions)	3
Correctional Health Services Administrators (all institutions)	3
Consultant(s)	1*

Juvenile Justice Division

Chief Deputy Secretary	1
Staff Assistant to the Chief Deputy Secretary	3
Director, Juvenile Facilities	1
Assistant Director, Juvenile Facilities	1
Director, Juvenile Programs	1
Director, Juvenile Parole Operations	1
Medical Director	1
Youth Authority Administrator	3
Program Administrator/Institutions & Camps Business Manager	3
Juvenile Justice Food Administrator	3
Parole Agent III (Program Specialists)	3
Staff Services Manager II (Branch Business Manager)	3
Regional Administrators, Parole Services & Community Corrections	3
Deputy Regional Administrators	3
Parole Agent III (Program Specialists, Regional Headquarters)	3
Supervisory Parole Agents	3
Associate Director/Superintendent of Education	1
Assistant Superintendent of Education	1
Assistant Chief of Education	1

Site Principals	3
Consultant(s)	1*

Division of Support Services

Director, Support Services	1
----------------------------------	---

Facilities Management

Deputy Director, Facilities Management	1
Special Assistant to the Deputy Director	2
Chief Deputy Warden, Security Operations & Maintenance Branch	1
Correctional Administrator, Security Operations	1
Procurement and Services Officer	2
Correctional Plant Managers	2
Staff Services Manager III, Facility Maintenance & Technical Section.....	2
Assistant Deputy Director, Day Labor & Professional Services	1
Chief, Material & Technical Services	2
Staff Services Manager I/II.....	3
Program Equipment Manager	3
Associate Construction Analyst.....	3
Associate Business Services Analyst	3
Staff Services Analyst/Associate Governmental Program Analyst(s).....	3
Business Services Officer I	3
Business Services Assistant	3
Chief, Inmate Day Labor	2
Construction Supervisor I/II/III.....	2
Equipment Maintenance Supervisor	2
Staff Serv. Analyst/Assoc. Gov. Program Analyst/Assoc. Construction Analyst ...	2
Chief, Architectural/Engineering.....	2
Supervising Electrical Engineer	2
Supervising Mechanical Engineer.....	2
Supervising Civil Engineer	2
Supervising Architect	2
Associate/Senior Architect	2
Associate/Senior Civil Engineer	2
Associate/Senior Electrical Engineer	2
Associate/Senior Mechanical Engineer.....	2
Chief, Telecommunications Branch	1
Manager, Field Operations	2
Telecommunications Systems Managers	3
Telecommunications Systems Analyst.....	3
Radio, Telecommunications Systems Managers	3
Radio, Telecommunications Systems Analyst	3
Manager, Program Support	2
Fiscal & Special Projects Manager	3
Contracts & Procurement Manager.....	3
Assistant Deputy Director, Project Planning, Finance & Management	1

Branch Chief, Project Services & Management Branch.....	2
Project Director	1
Supervising Construction Engineer.....	2
Senior Architect.....	2
Branch Chief, Facility Planning & Finance Branch.....	1
Chief, Capital Outlay Section	2
Capital Outlay Analyst.....	2
Chief, Energy Management Section	2
Energy Resource Specialist.....	3
Chief, Financial and Project Support Section	2
Staff Information Systems Analyst	3
Infrastructure Planning Manager.....	3
Project Support Manager	3
Contract/Fiscal Analyst	3
Supervising Environmental Planner	2
Managers, Facility Leasing & Maintenance Section.....	3
Managers, Business & Support Services Section	3
Analysts, Facility Leasing & Maintenance Section	3
Analyst, Business & Support Services Section	3
Consultant(s)	1*

Budget Management

Deputy Director, Fiscal Management Services	1
Chief, Office of Budget Management.....	1
Chief, Business Information Systems	1
Consultant(s)	1*

Business Services

Correctional Business Manager	2
Correctional Plant Manager	2
Chief Engineer	2
Procurement and Services Manager.....	3
Office of Contract Services, Associate Director	1
Chief, Institutional Medical Contracts.....	3
Chief, Institutional Service Contracts	3
Chief, Service Contracts Section	3
Manager, Institution Medical Contracts Unit	3
Manager, Institution Service Contracts Unit.....	3
Manager, Service Contracts Section.....	3
Manager, Construction Contract and Bid Packages Unit.....	3
Contract Analysts.....	3
Office of Business Management Branch, Associate Director	1
Chief, Facility Leasing & Maintenance Section	2
Chief, Business and Support Services.....	3
Business Services Officer I/II/III	3
Business Services Assistant	3

Consultant(s) 1*

Human Resources

Deputy Director, Human Resources 1
Associate Director, Human Resources 1
Chief, Office of Personnel Services 1
Section Chief, Technical Support and Employee Discipline..... 3
Chief, Office of Executive Recruitment & Program Management..... 1
Chief Learning Officer, Office of Training & Professional Development..... 1
Chief, Training Development and Support Services 3
Correctional Administrator, Galt 1
Correctional Business Administrator, Galt Facility 1
Parole Training Administrator 1
Chief, Office of Workforce Planning & Selection 1
Chief, Office of Peace Office Recruitment 1
Chief, Office of Employee Wellness..... 1
Consultant(s) 1*

Offices of the Department

Assistant Secretary, Office of Civil Rights..... 1
Assistant Secretary, Public & Employee Communications/Press Secretary 1
Deputy Press Secretary 1
Correctional Plant Manager 1
Procurement Services Officer I 1
Assistant Secretary, Office of Legislation..... 1
General Counsel, Legal Affairs 1
Chief Deputy General Counsel 1
Assistant Chief Counsel..... 1
Senior Staff Counsel..... 1
Staff Counsel 1
Chief Information Officer/Assist. Sec. Enterprise Information Services..... 1
Data Processing Manager IV 3
Data Processing Manager III 3
Annual Information Management Strategy Planner 3
Senior Programmer Analyst (Supervisor) 3
Senior Information Systems Analyst (Supervisor)..... 3
Data Processing Manager II 3
Contract Analyst..... 3
Systems Software Specialist II (Supervisory) 3
Assistant Secretary, Office of Labor Relations..... 1
Chief, Office of Labor Relations 1
Labor Relations Manager..... 3
Assistant Secretary, Internal Affairs..... 1
Assistant Secretary, Office of the Ombudsman 1
Ombudsman 1
Assistant Secretary, Office of Research 1

Assistant Secretary, Office of Victim and Survivor Rights & Services.....	1
Assistant Secretary, Office of Correctional Safety	1
Assistant Secretary, Office of Audits and Compliance	1
Assistant Secretary, Office of Policy Analysis and Planning	1
Chief, Adult Policy Analysis and Planning.....	1
Chief, Juvenile Policy Analysis and Planning.....	1
Grants Administrator	1
Assistant Secretary, Office of Risk Management	1
Chief, Office of Environmental Health & Safety	3
Chief, Disability Management Section	3
Assistant Secretary, Office of Health Care Policy	1
Consultant(s)	1*

Individual Institutions/Facilities (Adult)

Warden	1
Chief Deputy Warden.....	1
Associate Warden (Correctional Administrators)	3
Correctional Plant Manager I, II	2
Correctional Business Manager I, II.....	2
Procurement and Services Officer I, II	3
Prison Canteen Manager I, II	3
Business Services Manager I, II.....	3
Correctional Food Manager I, II	3
Consultant(s)	1*

Individual Institutions/Facilities (Juvenile)

Superintendent.....	1
Assistant Superintendent	1
Program Administrator (Camp Superintendent)	3
Treatment Team Supervisor (Camp Assistant Superintendent).....	3
Business Manager II	3
Business Services Officer (all levels)	3
Chief Dentist	3
Dentist.....	3
Chief Medical Officer.....	3
Physician & Surgeon.....	3
Chief Engineer	3
Chief of Plant Operations (all levels).....	3
Chief of Security.....	3
Culinary Arts Instructor – Delta Room.....	3
Culinary Arts Instructor – Feast Room	3
Food Manager.....	3
LAN Manager.....	3
Materials and Stores Supervisor (Canteen)	3
Procurement and Services Officer (all levels)	3
Program Administrator (Business Manager)	3

Supervisor of Building Trades	3
Training Officer I and II	3
Youth Authority Administrator (Business Administrator)	3
Consultant(s)	1*

Prison Industry Authority

General Manager	1
Chief, Assistant General Manager	2
Prison Industry Administrator	2
Prison Industry Manager	3
Chief, Accounting Services	3
Chief, Budget Service	3
Chief, Business Service	3
Service Contract Manager	3
Business Services Officer I	3
Assistant General Manager	3
Branch Manager-Furniture	3
Branch Manager-Metal/Services	3
Branch Manager-Consumables	3
Sales Manager	3
Branch Manager-Marketing Services	3
Manager-Marketing & Business Analysis	3
Chief, Human Resources Division	3
Chief, Office of Public Affairs	3
Chief, Information Systems	3
Consultant(s)	1*

Board of Parole Hearings

Commissioner	1
Executive Officer	1
Chief Deputy Commissioner	3
Associate Chief Deputy Commissioner	3
Deputy Commissioner/Board Representative	3
Chief, Investigations	3
Chief, Offender Screening	3
Chief, Policy & Appeals	3
Staff Services Manager I, Fiscal/Business Services	3
Staff Services Manager I, Scheduling/Decision Processing Unit	3
Staff Services Manager I, ADA Compliance Unit	3
Consultant(s)	1*

Corrections Standards Authority

Board Member(s) **	1
Executive Director	1
Deputy Director, Standards & Training for Corrections	1
Deputy Director, Corrections Planning & Programs	1

Deputy Director, Facilities Standards & Operations Division	1
Field Representatives	3
Consultant(s)	1*

Prison Industry Board

Board Members(s) **	1
Consultant(s)	1*

State Commission on Juvenile Justice

Member, Juvenile Justice Commission**	1
Consultant(s)	1*

Appendix B

Disclosure Categories

Category 1. Employees shall report all interests in real property in the State of California, as well as investments, business positions and sources of income, including gifts, loans and travel payments.

Category 2. Employees shall report all investments and business positions in business entities and sources of income including gifts, loans and travel payments, that engage in land development, construction, or acquisition or sale of real property, and interests in real property located within California, which within the last two years has been, or the employee knows or has reason to know, will be rented or leased by the Department of Corrections and Rehabilitation or in any way may profit the individual by Department of Corrections and Rehabilitation use.

Category 3. Employees shall report all investments and business positions in business entities and sources of income, including gifts, loans and travel payments, that may contract with the designated employee's immediate office, division or branch to provide goods, equipment, machinery or services, including

training or consulting services, of the type utilized by the Department of Corrections and Rehabilitation.

*** Consultants:**

Consultants shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The chief executive officer/Secretary of the agency may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The chief executive officer's/Secretary of the agency's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

****** Any person holding this position who is already filing a statement with full disclosure and statewide jurisdiction and that statement is forwarded to the FPPC will not have to file an additional statement under the provisions of this Conflict of Interest Code.

NOTE: Authority cited: Sections 12838.5, 87300 and 87302, Government Code; and Section 5058, Penal Code. Reference: Sections 87300, 87302, and 87306, Government Code; and Section 5054, Penal Code.

**Division 2, Chapter 1, Article 2 of Title 15 is amended as follows:
Section 2005 is repealed.**

**Division 3, Subchapter 5, Article 2 of Title 15 is amended as follows:
Section 3416 is repealed.**

**Division 4, Chapter 1, Article 2 of Title 15 is amended as follows:
Article 2 is repealed.**